

Business Ethics for APEC SMEs Initiative: Medical Device & Biopharmaceutical Sectors

Strategic Assessment of 2020 Commitments

Updated February 2019

INPUTS <i>Who Provides What</i>	ACTIVITIES <i>What We Do Together</i>	OUTPUTS <i>The Products of Our Work</i>	OUTCOMES <i>The Changes from these Products (Quantifiable)</i>	IMPACT <i>The Benefits from these Changes</i>
<ul style="list-style-type: none"> • Industry (SMEs): Commitment to engage directly with the initiative and local industry associations to strengthen their own capacity • Industry (Large Enterprises): Commitment to engage directly with the initiative and local industry associations to strengthen their own capacity and to lend resources (expertise, financial, etc.) • Industry (Associations): Commitment to engage directly with the initiative and their members to strengthen the capacity of enterprises within their economy and to lend resources (expertise, financial, etc.) • Industry (Third Parties – Distributors, Marketers, etc.): Commitment to engage directly with the initiative and local industry associations to strengthen their own capacity • Governments: Commitment to serve as partners and foster regulatory environments that facilitate ethical behavior as well as lend resources where appropriate (expertise, financial, etc.) • Healthcare Professionals / Healthcare Providers: Commitment to engage directly with the initiative at all levels to build capacity, align practices, and lend resources (expertise, financial, etc.) • Patients: Commitment to provide all stakeholders with routine inputs and to advocate for APEC Principles 	<ul style="list-style-type: none"> • Setting Best Practices: Identify, align, and endorse the highest standard ethical business practices across all member economies. • Capacity-Building: Facilitating the adoption and implementation of the highest standard ethical business practices by all industry and non-industry actors relevant to both sectors, coordinating opportunities between and within member economies. • Monitoring and Evaluation: Setting indicators to quantify progress in code adoption and implementation across all member economies, monitoring to determine changes over time, and evaluation of the results. 	<p>APEC Kuala Lumpur Principles & APEC Mexico City Principles / Model Industry Association Code of Ethics</p> <p>Mentor/Expert Networks (By Sector)</p> <p>Annual APEC Business Ethics for SMEs Forum (2014-2020): Plenary/Workshops</p> <p>APEC Biopharmaceutical Working Group</p> <p>Consensus Frameworks / Ethical Collaborations within each APEC economy, inclusive of industry, government, healthcare professionals, patients, and/or other relevant stakeholders + addressing non-member dilemma</p> <p>APEC Code of Ethics Compendiums</p> <p>APEC Guidance for Ethical Third Party Intermediary Relationships in the Medical Device Sector + Tokyo Action Plan (Recommendations to Implement the Guidance through 2021)</p> <p>Annual Lighthouse Award</p> <p>Annual Industry Association Surveys on Code Implementation and Progress Report</p> <p>Programs to Scale Capacity-Building for SME Implementation of the APEC Principles</p> <ul style="list-style-type: none"> • APEC SME CEO Integrity Program (Biopharmaceutical Sector) • Distributors Online Portal (Medical Device Sector) <p>Compendium of government enabling policies / approaches to strength adoption and implementation of ethical business practices by all stakeholders</p> <p>Research or literature review on the business, economic, social and/or innovation case for implementing the APEC Principles (quantifiable gains)</p> <p>Sample of an aligned industry and HCP association code of ethics + APEC Principles training materials for emerging healthcare professionals</p> <p>Patient organization network / toolkit to support implementation of APEC Principles and Consensus Frameworks</p> <p>Virtual capacity-building and/or online certification completed by X individuals across Y organizations by 2020</p> <p>Quantitative and qualitative measurements that demonstrate the economic, business, social, and innovation case for high-standard ethical business practices (consider the cross-cultural context)</p>	<p><u>Achievements To Date:</u></p> <ul style="list-style-type: none"> ✓ Recognition and support to strengthen ethical business practices by APEC Leaders/Ministers ✓ Recognition and support to promote ethical third party intermediary relationships in the medical device sector through 2021. ✓ More than doubling the number of codes of ethics or code commitments across APEC ✓ Partnerships in 6 economies to drive code implementation and ethical business practices (consensus frameworks) <p><u>Achievements in Progress:</u></p> <ul style="list-style-type: none"> ✓ Universal medical device and biopharmaceutical industry association code adoption and implementation by 2020 ✓ Support and endorse local partnerships in APEC economies between relevant stakeholders to advance industry's voluntary efforts to strengthen ethical business practices 	<ul style="list-style-type: none"> • Economic: Strengthen growth and cross-border trade, competitiveness; reduce public waste and regulatory / enforcement burden. • Business: Strengthen domestic and cross-border market access for SMEs, facilitate fair competition in the marketplace, improve productivity; reduce reputational risks and legal business costs. • Social: Strengthen health system outcomes for patients, public confidence/trust in healthcare system, access to life saving and enhancing products, and innovation capabilities. • Innovation: Strengthen stakeholder interactions and trust to expedite the discovery of new products and processes